

### SAG Infotech Private Limited

B-9, 2nd Floor, Mahalaxmi Nagar, Behind WTP, South Block, Malviya Nagar, Jaipur, Raj. 302017

F 0 T E C H Phone No.: 0141-4072000

CIN: U72200RJ2010PTC031817, GST No.: 08AAOCS2362J1ZY

To,

Date: December 01, 2023

**Deputy General Manager** 

Securities and Exchange Board of India Marker Intermediaries Regulation and Supervision Department

Kind Attention: - Aradhana Verma

<u>Sub.: Disclosure of Complaints by Registrar and Share Transfer Agents (RTAs)</u> <u>Scores ID - RTI00109</u>

#### Dear Sir/Ma'am,

With reference to the captioned subject and pursuant to the section 11(1) of the Securities and Exchange Board of India Act, 1992 and Circular SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2021/670 dated November 26, 2021 and Rules and Regulations made thereunder and guidelines/circulars issued by SEBI from time to time, we hereby enclosed the **Annexure B** for the data of complaints against Registrar and Share Transfer Agents (RTAs) for the period of November 2023.

The data of complaints against Registrar and Share Transfer Agents (RTAs) will be available on the Company's website <a href="www.sagrta.com">www.sagrta.com</a>. We have mention URL links for your reference:

Particulars	URL Link			
Investor Grievance Report	https://rta.saginfotech.com/investor-grievances-reports			

You are requested to take note of the same.

Thanking you,

Yours faithfully,

Signature of Authorised Signatory

Amit Gupta

Managing Director

(DIN: 03071836)

Place: Jaipur Encl: As below



SAG RTA







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#### Annexure - B

## Data of complaints against Registrar and Share Transfer Agents (RTAs):-

## Data for the month ending 30.11.2023-

SN	Received from	Carried forward from previous month	Received during the month	Total Pending#	Resolved*	Pending at the end of the month**	Average Resolution time^ (in days)
						Pending   Pending   for less   for more   than 3   than 3   months   months	
1	2	3	4	5	6	7	8
1	Directly from Investors	NIL	NIL	NIL	NA	NIL	NA
2	SEBI (SCORES)	NIL	NIL	NIL	NA	NIL	NA
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NA	NIL	NA
4	Other Sources (if any)	NIL	NIL	NIL	NA	NIL	NA
5	Grand Total	NIL	NIL	NIL	NA	NIL	NA



# Month - wise data for the current financial year\*- 2023-24.

SN	Month	from previous month	Received	Resolved	Pending
1	2	3	4	5	6
1	April, 2023	NIL	NIL	NIL	NIL
2	May, 2023	NIL	NIL	NIL	NIL
3	June, 2023	NIL	NIL	NIL	NIL
4	July, 2023	NIL	NIL	NIL	NIL
5	August, 2023	NIL	NIL	NIL	NIL
6	September, 2023	NIL	NIL	NIL	NIL
7	October, 2023	NIL	NIL	NIL	NIL
8	November, 2023	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

