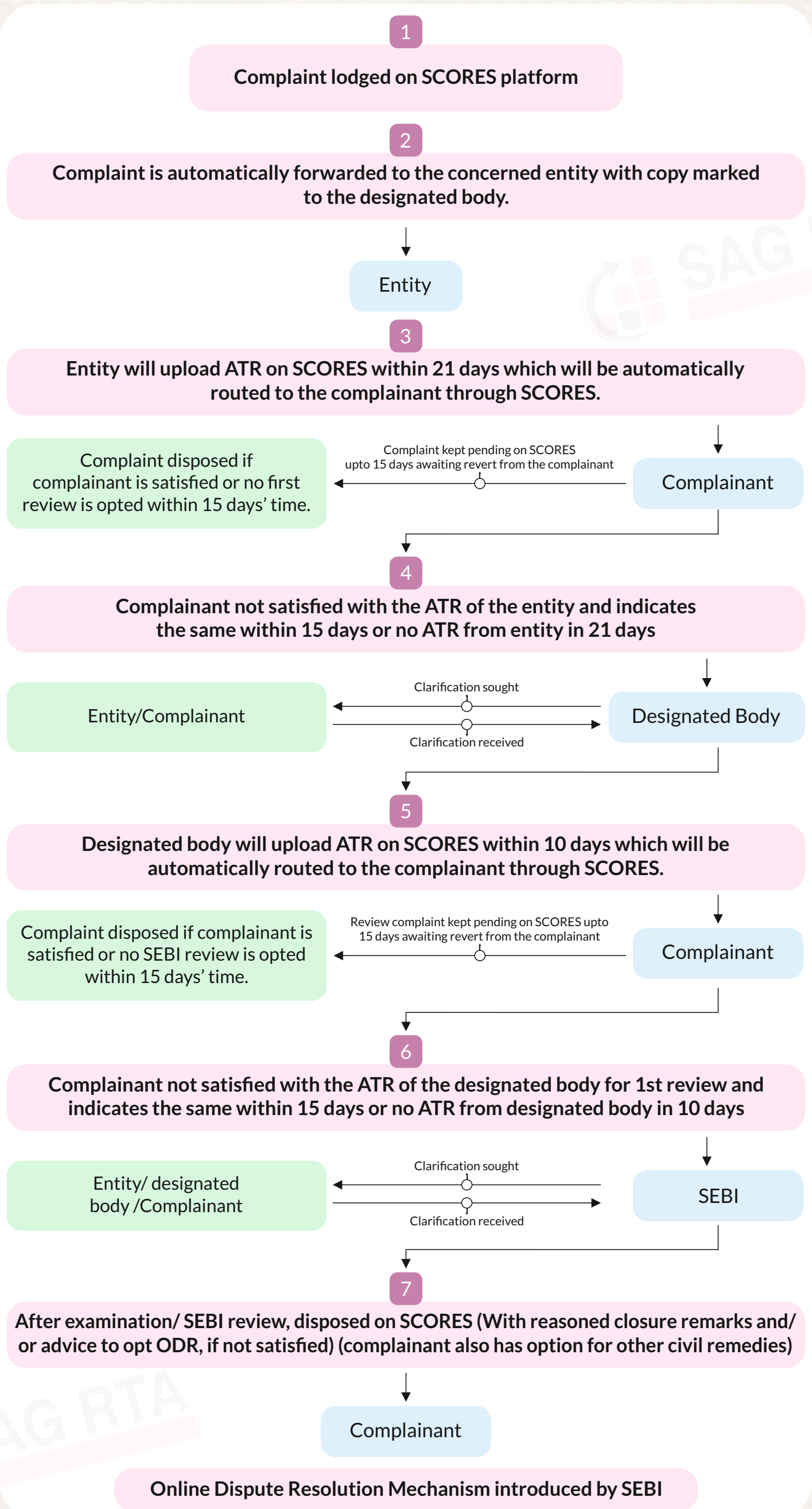


SEBI – Redressal of Investor Grievances Through The SEBI Complaint Redressal (SCORES) Platform

Schedule III

(To SEBI/HO/OIAE/IGRD/CIR/P/2023/156 dated September 20, 2023)



Schedule IV

(To SEBI/HO/OIAE/IGRD/CIR/P/2023/156 dated September 20, 2023)

A. Name of the Designated Body:

B. Registered Office Address:

C. Identification Number (PAN or specify):

D. Date of incorporation: _____

E. SCORES Details:

i. E-mail ID (For the purpose of SCORES Authentication):

ii. Phone Number: _____

iii. Mobile Number (Optional): _____

F. Nodal Officer Details:

i. Name: _____

ii. Designation: _____

iii. Mobile Number: _____

iv. E-mail ID: _____

v. Phone Number (Optional): _____

Schedule VI

(To SEBI/HO/OIAE/IGRD/CIR/P/2023/156 dated September 20, 2023)

Timelines for handling of complaints and actions in case of non-compliances

Sr. No.	Activity	No of Calendar Days
1.	Complaint handling:	
a.	Complaint received in SCORES by the listed company	T
2	Action in case of non-compliances:	
a.	Notice to Listed company intimating the fine @ ₹1000/- per day, per complaint to be levied for not resolving the complaints within 60 days	T+61
b.	Notice to Promoters for non-resolution of complaints and nonpayment of fine to the stock exchange.	T+76
c.	Freezing of promoter's shareholdings (i.e. entire shareholding of the promoter(s) in listed company as well as all other securities held in the demat account of the promoter(s)) in demat account.	T+86
d.	Stock exchanges may take any other actions, as deemed appropriate.	
e.	Once Stock exchange has exhausted all options and yet the number of pending complaints exceed 20 or the value involved is more than ₹ 10 lakhs, the Exchange to forward the details of such Listed companies to SEBI for further action, if any	